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## AGENDA

**Committee** GOVERNANCE AND AUDIT COMMITTEE

**Date and Time of Meeting** TUESDAY, 25 JANUARY 2022, 4.30 PM

**Venue** REMOTE VIA MS TEAMS

**Membership** Independent Members: David Hugh Thomas (Chairperson)  
Gavin McArthur, David Price, Janet Wademan

Councillors Cowan, Cunnah, Goodway, Howells, K Jones, Simmons,  
Singh and Williams

*Time  
approx.*

### 1 **Apologies for Absence**

To receive apologies for absence.

### 2 **Declarations of Interest**

To be made at the start of the agenda item in question, in accordance with the Members' Code of Conduct.

### 3 **Committee Membership**

To note that Council on 25 November 2021 re-appointed the following Members to this Committee for a further 5-year term:

Independent Members: David Price, Gavin McArthur

### 4 **Minutes** (*Pages 5 - 20*)

To approve, as a correct record the minutes of the meetings held on 23 November 2021 and 7 December 2021.

### 5 **Update on Directorate Control Environment** (*Pages 21 - 54*)

4.40 pm

Chris Lee, Corporate Director Resources

### 6 **Finance**

5.05 pm

### 6.1 **Finance Update including Resilience Issues** (*Pages 55 - 64*)

- |                             |  |         |
|-----------------------------|--|---------|
| <b>7</b>                    | <b>Internal Audit</b>  | 5.15 pm |
| 7.1                         | Audit and Investigation Team Progress Update <i>(Pages 65 - 140)</i>   |         |
| 7.2                         | Draft Internal Audit Charter and Summary Audit Plan 2022/23 <i>(Pages 141 - 170)</i>   |         |
| <b>8</b>                    | <b>Audit Wales</b>   | 5.35 pm |
| 8.1                         | Annual Audit Summary Report <i>(Pages 171 - 186)</i>   |         |
| 8.2                         | AW Work Programme and Timetable Update <i>(Pages 187 - 206)</i>  |         |
| <b>COMFORT BREAK 6.00PM</b> |  |         |
| <b>9</b>                    | <b>Performance</b>   | 6.05 pm |
| 9.1                         | Mid-Year Self-Assessment Report Update <i>(Pages 207 - 212)</i>  |         |
| <b>10</b>                   | <b>Governance and Risk Management</b>  | 6.25 pm |
| 10.1                        | Recommendation Tracker - Reports of External Review Bodies <i>(Pages 213 - 228)</i>  |         |
| 10.2                        | Update on the working relationship between the Governance and Audit Committee and the Scrutiny Committees <i>(Pages 229 - 232)</i> |         |
| 10.3                        | Senior Management Assurance Statement and AGS Action Plan 2021/22 (Mid-Year) <i>(Pages 233 - 240)</i>                              |         |
| <b>11</b>                   | <b>Treasury Management</b>   | 6.55 pm |
| 11.1                        | Draft Treasury Management Strategy 2022/23 <i>(Pages 241 - 276)</i>  |         |
| <b>12</b>                   | <b>Outstanding Actions</b> <i>(Pages 277 - 278)</i>  | 7.05 pm |
| <b>13</b>                   | <b>Correspondence</b> <i>(Pages 279 - 280)</i>   |         |
| <b>14</b>                   | <b>Work Programme Update</b> <i>(Pages 281 - 282)</i>  |         |
| <b>15</b>                   | <b>Urgent Items (if any)</b>   |         |
| <b>16</b>                   | <b>Date of next meeting</b>  |         |

The next meeting is scheduled for 15 March 2022.

**Davina Fiore**  
**Director Governance & Legal Services**  
 Date: Wednesday, 19 January 2022  
 Contact: Graham Porter,

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